

# Data Filtering in Vision-Language Pre-training

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2023.10.18

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## 1 Introduction

## 2 Data filtering

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## 3 Summary

# Vision-Language Pre-training

- Goal: develop AI systems that can understand and reason about visual concepts and language in an interconnected way.
- Various downstream vision-language tasks:
  - ▶ text generation (i.e. image captioning, visual question answering)
  - ▶ image generation (i.e. style transfer)
  - ▶ image analysis (i.e. segmentation)

# Main Research Directions

- Model and Architecture
- Task and Objective Function
- **Data**
- Training Strategy

# Model and Architecture

- Architecture: Transformer, ViT
- Model

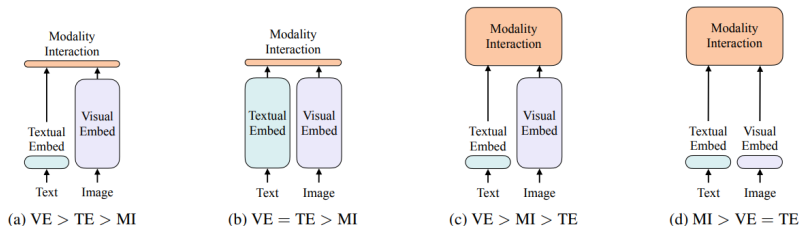


Figure 1: Four categories of vision-and-language models.<sup>1</sup>

It turns out that (c) is the best!

- Other tricks: Mixture of Experts (MoE)<sup>2</sup>

<sup>1</sup>Wonjae Kim, Bokyung Son, and Ildoo Kim. "Vilt: Vision-and-language transformer without convolution or region supervision". In: *International Conference on Machine Learning*. PMLR. 2021, pp. 5583–5594.

<sup>2</sup>Robert A Jacobs et al. "Adaptive mixtures of local experts". In: *Neural computation* 3.1 (1991), pp. 79–87. <img alt="Navigation icons" data-bbox="700 935 990 955"/>

# Model and Architecture

An example:

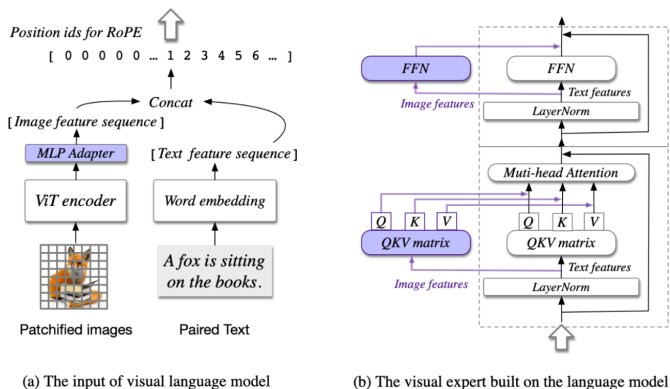


Figure 2: The architecture of CogVLM. <https://github.com/THUDM/CogVLM>

# Task and Objective Function

- Image-text contrastive (ITC): CLIP
- Object detection (OD): ViLBERT, UNITER
- Image-text matching (ITM): ViLBERT, UNITER, ViLT
- Mask language modeling (MLM): BERT
- Predict the next word token: GPT

Recent methods prefer the task of predicting the next token!



# Task and Objective Function

An example:

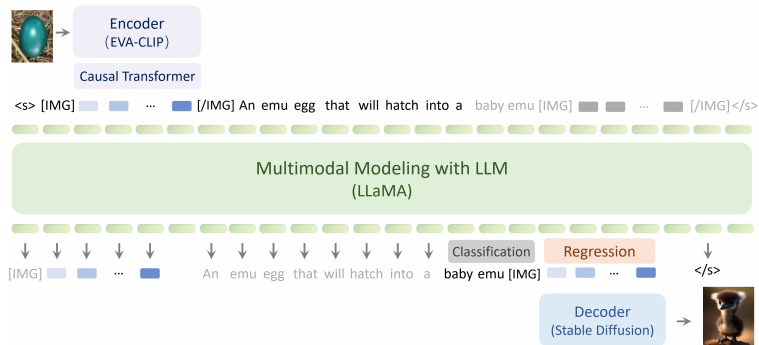


Figure 3: Emu unifies the modeling of different modalities in an auto-regressive manner.<sup>3</sup>

<sup>3</sup>Quan Sun et al. "Generative pretraining in multimodality". In: [arXiv preprint arXiv:2307.05222](https://arxiv.org/abs/2307.05222) (2023).

# Data

- Data mining - Discovering and extracting new image-text data from multimodal sources like the web, books, social media etc.
- **Data filtering** - Developing robust methods to clean noisy web data and retain useful training examples.
- Data augmentation - Techniques like text and image augmentation and synthesis to increase diversity and generalizability.
- Balanced sampling - Strategies to ensure models see diverse, representative data and avoid biases.

# Training Strategy

- Multi-stage
- End-to-end

An example:

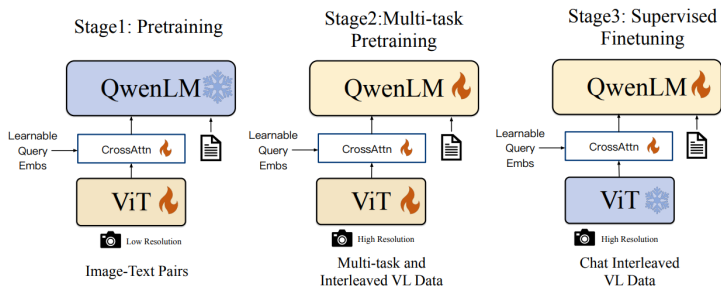


Figure 4: The training pipeline of the Qwen-VL series.<sup>4</sup>

<sup>4</sup>Jinze Bai et al. "Qwen-vl: A frontier large vision-language model with versatile abilities". In: [arXiv preprint arXiv:2308.12966](https://arxiv.org/abs/2308.12966) (2023).

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# Align before Fuse (ALBEF)

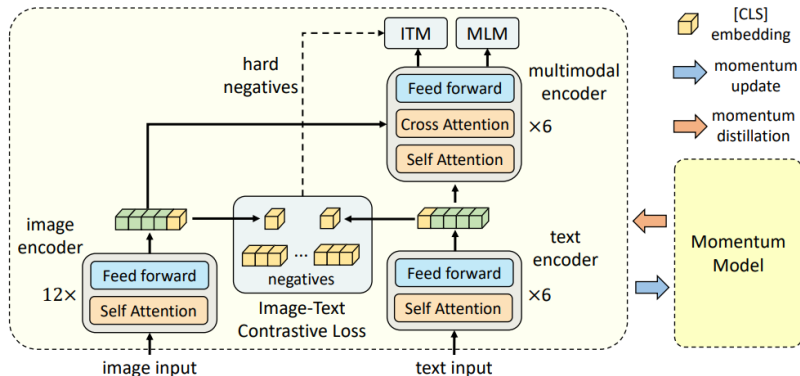


Figure 5: Illustration of ALBEF.<sup>5</sup>

<sup>5</sup> Junnan Li et al. "Align before fuse: Vision and language representation learning with momentum distillation". In: *Advances in neural information processing systems* 34 (2021), pp. 9694–9705.

## Pre-training Objectives

- Image-Text Contrastive Learning (the same as Moco)

Let  $g_I$  and  $g_T$  are linear transformations that map the [CLS] embeddings to normalized representations, and  $g'_I$  and  $g'_T$  are representations from the momentum encoders. We define the similarity

$$s(I, T) = g_I^\top \cdot g'_T, \quad s(T, I) = g_T^\top \cdot g'_I$$

and the softmax-normalized similarity

$$p_m^{i2t}(I) = \frac{\exp(s(I, T_m) / \tau)}{\sum_{m=1}^M \exp(s(I, T_m) / \tau)}, \quad p_m^{t2i}(T) = \frac{\exp(s(T, I_m) / \tau)}{\sum_{m=1}^M \exp(s(T, I_m) / \tau)}$$

Thus, the loss function is

$$\mathcal{L}_{\text{itc}} = \frac{1}{2} \mathbb{E}_{(I, T) \sim D} [H(\mathbf{y}^{i2t}(I), \mathbf{p}^{i2t}(I)) + H(\mathbf{y}^{t2i}(T), \mathbf{p}^{t2i}(T))]$$

where  $\mathbf{y}$  is the ground-truth one-hot similarity, and  $H$  denotes the cross-entropy.

# Pre-training Objectives

- Masked Language Modeling (same as BERT)

$$\mathcal{L}_{\text{mlm}} = \mathbb{E}_{(I, \hat{T}) \sim D} H(\mathbf{y}^{\text{msk}}, \mathbf{p}^{\text{msk}}(I, \hat{T}))$$

where  $\hat{T}$  denotes a masked text, and  $\mathbf{p}^{\text{msk}}(I, \hat{T})$  denotes the model's predicted probability for a masked token.

- Image-Text Matching (same as binary classification)

$$\mathcal{L}_{\text{itm}} = \frac{1}{2} \mathbb{E}_{(I, T) \sim D} H(\mathbf{y}^{\text{itm}}, \mathbf{p}^{\text{itm}}(I, T))$$

where  $\mathbf{p}^{\text{itm}}(I, T)$  is the predicted two-class probability.

## The image-text pairs are noisy

Positive pairs are usually weakly-correlated

- For ITC: negative texts for an image may also match the image's content.
- For MLM, there may exist other words different from the annotation that describes the image equally well (or better).



# Momentum Distillation (MoD)

Learn from pseudo-targets generated by the momentum model.

- For ITC, We use the similarity from the momentum model

$$s'(I, T) = g_I^{\top} \cdot g'_T, \quad s'(T, I) = g_T^{\top} \cdot g'_I$$

and the momentum model's softmax-normalized similarity

$$q_m^{\text{i2t}}(I) = \frac{\exp(s'(I, T_m)/\tau)}{\sum_{m=1}^M \exp(s'(I, T_m)/\tau)}, \quad q_m^{\text{t2i}}(T) = \frac{\exp(s'(T, I_m)/\tau)}{\sum_{m=1}^M \exp(s'(T, I_m)/\tau)}$$

Thus, the loss function is

$$\mathcal{L}_{\text{itc}}^{\text{mod}} = (1 - \alpha)\mathcal{L}_{\text{itc}} + \frac{\alpha}{2} \mathbb{E}_{(I, T) \sim D} [\text{KL}(\mathbf{q}^{\text{i2t}}(I)) \parallel \mathbf{p}^{\text{i2t}}(I) + \text{KL}(\mathbf{q}^{\text{t2i}}(T)) \parallel \mathbf{p}^{\text{t2i}}(T)]$$

- For MLM

$$\mathcal{L}_{\text{mlm}}^{\text{mod}} = (1 - \alpha)\mathcal{L}_{\text{mlm}} + \alpha\mathbb{E}_{(I, \hat{T}) \sim D} \text{KL}(\mathbf{q}^{\text{msk}}(I, \hat{T}) \parallel \mathbf{p}^{\text{msk}}(I, \hat{T}))$$

where  $\mathbf{q}^{\text{msk}}(I, \hat{T})$  denotes the momentum model's prediction probability for the masked token.

The author sets  $\alpha = 0.4$ .

# Illustration

“polar bear in the [MASK]”



GT: wild

Top-5 pseudo-targets:

1. zoo
2. pool
3. water
4. pond
5. wild

“a man [MASK] along a road in front of nature in summer”



GT: standing

Top-5 pseudo-targets:

1. walks
2. walking
3. runs
4. running
5. goes

“a [MASK] waterfall in the deep woods”



GT: remote

Top-5 pseudo-targets:

1. small
2. beautiful
3. little
4. secret
5. secluded



GT: breakdown of the car on the road

Top-5 pseudo-targets:

1. young woman get out of the car near the road
2. a woman inspects her damaged car under a tree
3. a woman looking into a car after locking her keys inside
4. young woman with a broken car calling for help
5. breakdown of the car on the road



GT: the harbor a small village

Top-5 pseudo-targets:

1. the harbour with boats and houses
2. replica of the sailing ship in the harbour
3. ships in the harbor of the town
4. the harbor a small village
5. boats lined up alongside the geographical feature category in the village

**Figure 6:** : Examples of the pseudo-targets for MLM (1st row) and ITC (2nd row). The pseudo-targets can capture visual concepts that are not described by the ground-truth text (e.g. “beautiful waterfall”, “young woman”).

# Experiments on the proposed methods

#Pre-train Images	Training tasks	TR (flickr test)	IR	SNLI-VE (test)	NLVR <sup>2</sup> (test-P)	VQA (test-dev)
4M	MLM + ITM	93.96	88.55	77.06	77.51	71.40
	ITC + MLM + ITM	96.55	91.69	79.15	79.88	73.29
	ITC + MLM + ITM <sub>hard</sub>	97.01	92.16	79.77	80.35	73.81
	ITC <sub>MoD</sub> + MLM + ITM <sub>hard</sub>	97.33	92.43	79.99	80.34	74.06
	Full (ITC <sub>MoD</sub> + MLM <sub>MoD</sub> + ITM <sub>hard</sub> )	97.47	92.58	80.12	80.44	74.42
	ALBEF (Full + MoD <sub>Downstream</sub> )	97.83	92.65	80.30	80.50	74.54
14M	ALBEF	98.70	94.07	80.91	83.14	75.84

Three main improvements:

- Objective function
- Larger dataset
- MoD

# Bootstrapping Language-Image Pre-training (BLIP)

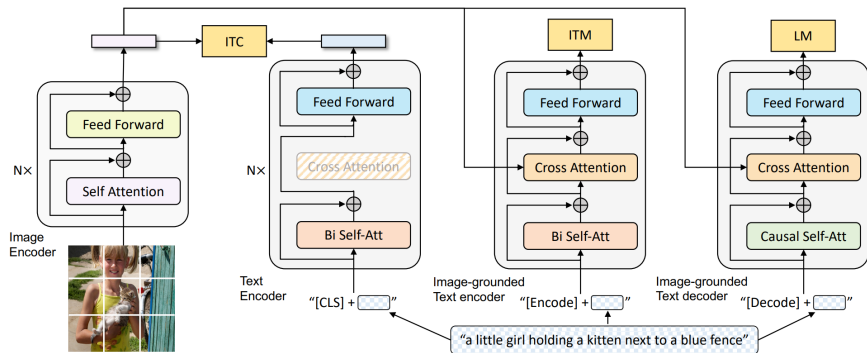


Figure 7: Illustration of BLIP.<sup>6</sup>

<sup>6</sup> Junnan Li et al. "Blip: Bootstrapping language-image pre-training for unified vision-language understanding and generation". In: *International Conference on Machine Learning*. PMLR, 2022, pp. 12888–12900.

# Dataset

- a limited number of high-quality human-annotated image-text pairs  $\{(I_h, T_h)\}$ , e.g., COCO 200K
- a much larger number of image and alt-text pairs collected from the web  $\{(I_w, T_w)\}$ , e.g. Conceptual Captions 12M, LAION 115M

# Bootstrapping: Captioning and Filtering (CapFit)

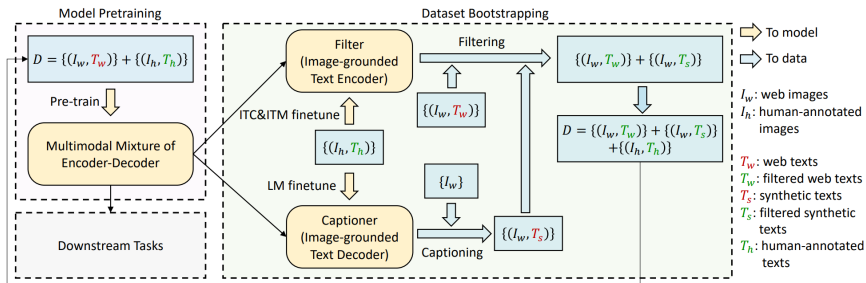


Figure 8: Learning framework of BLIP.

- Captioner is an image-grounded text decoder which generates synthetic captions given web images.
- Filter is an image-grounded text encoder which removes noisy image-text pairs.

# Illustration



$T_w$ : "from bridge near my house"

$T_s$ : "a flock of birds flying over a lake at sunset"



$T_w$ : "in front of a house door in Reichenfels, Austria"

$T_s$ : "a potted plant sitting on top of a pile of rocks"



$T_w$ : "the current castle was built in 1180, replacing a 9th century wooden castle"

$T_s$ : "a large building with a lot of windows on it"

Figure 9: Examples of the web text  $T_w$  and the synthetic text  $T_s$ . Green texts are accepted by the filter, whereas red texts are rejected.



# Experiments

Pre-train dataset	Bootstrap		Vision backbone	Retrieval-FT (COCO)		Retrieval-ZS (Flickr)		Caption-FT (COCO)		Caption-ZS (NoCaps)	
	C	F		TR@1	IR@1	TR@1	IR@1	B@4	CIDEr	CIDEr	SPICE
COCO+VG +CC+SBU (14M imgs)	$\times$	$\times$	ViT-B/16	78.4	60.7	93.9	82.1	38.0	127.8	102.2	13.9
	$\times$	$\checkmark_B$		79.1	61.5	94.1	82.8	38.1	128.2	102.7	14.0
	$\checkmark_B$	$\times$		79.7	62.0	94.4	83.6	38.4	128.9	103.4	14.2
	$\checkmark_B$	$\checkmark_B$		80.6	63.1	94.8	84.9	38.6	129.7	105.1	14.4
COCO+VG +CC+SBU +LAION (129M imgs)	$\times$	$\times$	ViT-B/16	79.6	62.0	94.3	83.6	38.8	130.1	105.4	14.2
	$\checkmark_B$	$\checkmark_B$		81.9	64.3	96.0	85.0	39.4	131.4	106.3	14.3
	$\checkmark_L$	$\checkmark_L$		81.2	64.1	96.0	85.5	39.7	133.3	109.6	14.7
	$\times$	$\times$	ViT-L/16	80.6	64.1	95.1	85.5	40.3	135.5	112.5	14.7
	$\checkmark_L$	$\checkmark_L$		82.4	65.1	96.7	86.7	40.4	136.7	113.2	14.8

Table 1. Evaluation of the effect of the captioner (C) and filter (F) for dataset bootstrapping. Downstream tasks include image-text retrieval and image captioning with finetuning (FT) and zero-shot (ZS) settings. TR / IR@1: recall@1 for text retrieval / image retrieval.  $\checkmark_{B/L}$ : captioner or filter uses ViT-B / ViT-L as vision backbone.

## Other Applications

- Generate synthetic caption for image data without text

<https://lambdalabs.com/blog/>

`how-to-fine-tune-stable-diffusion-how-we-made-the-text-to-pok`

# Summary

Key idea: leverage **self-supervision signals** or **contrastive learning** to identify low quality or noisy samples and filter them out or reduce their impact during pre-training.